01-14-05

**PATENT** 

Attorney Docket No.: 26/1145US(0.1) (AT 10759-160)

JAN 13 705 PARTIES Applicant:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cory O. Nykoluk

: Group No.: 3727

Serial No.: 10/6

10/688,447

•

Filed:

October 17, 2003

Examiner: Mai, Tri M.

For:

PIVOTAL HANDLE FOR TOWABLE

**BAGGAGE** 

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

1. Transmitted herewith is:

- Transmittal with Certificate of Express Mail (3 pgs., in duplicate)
- Examiner Interview Summary (1 pg.)
- Notice of Related Litigation (1 pg.), with attached:
- Notice of Lawsuit and Request for Waiver of Service of Summons filed in the United States District Court, Eastern District of Missouri, December 22, 2003, docket no. 4 03CV01822CAS (4 pgs.);
- Civil Cover Sheet for docket no. 4 03CV01822CAS dated December 22, 2003 (1 pg.);
- Copy of the complaint as filed on March 24, 2004 (13 pgs.);
- Copy of the answer from the defendant as filed March 24, 2004 (5 pgs.)
- Formal Drawing Transmittal (1pg.)
- Four (4) Sheets of Formal Drawings
- Return Postcard

### CERTIFICATE OF MAILING BY EXPRESS MAIL TO THE COMMISSIONER FOR PATENTS

Express Mail No. EV 593389111 US

Date: January 13, 2005

I hereby certify that the documents listed above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dean Small, Registration No. 34,730

PATENT Attorney Docket No. 26/1145US(0.1) (AT 10759-160)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cory O. Nykoluk et al.

Art Unit: 3727

Serial No.: 10/688,447

Examiner: Mai, Tri M.

Filed: October 17, 2003

.

For: PIVOTAL HANDLE FOR TOWABLE

**BAGGAGE** 

### **Examiner Interview Summary**

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The Examiner is thanked for holding a teleconference with the undersigned on January 12, 2005, regarding the above-matter. During the teleconference, the undersigned pointed out that the outstanding Office Action mailed September 3, 2004, acted upon claims 1-29.

However, claims 1-29 were cancelled in a Preliminary Amendment filed October 17, 2003, and replaced with new claims 30-52. The Examiner acknowledged the error in the Office Action and agreed to withdraw the outstanding Office Action and provide a new action on the merits regarding claims 30-52.

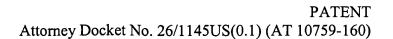
Respectfully Submitted,

Dean Small, Registration No.: 34,730 ARMSTRONG TEASDALE LLP

One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cory O. Nykoluk et al.

ress Mail No.: EV 593389111 US

Art Unit: 3727

Serial No.: 10/688,447

1,

Filed: October 17, 2003

Examiner: Mai, Tri M.

PIVOTAL HANDLE FOR TOWABLE For:

BAGGAGE

#### NOTICE OF RELATED LITIGATION

Commissioner for Patents Alexandria, Virginia 22313

Pursuant to the duty of disclosure under 35 U.S.C. § 1.56, and pursuant to MPEP § 2001.06(c), notice is hereby given to the Examiner of litigation related to the subject matter of the present application. Specifically, notice is hereby given relating litigation of U.S. Patent Application Serial No. 09/705,171 filed November 2, 2000, now issued U.S. Patent No. 6,651,791, from which the present application claims priority.

A patent infringement lawsuit in which the '791 patent was asserted was filed in the Eastern District of Missouri on December 22, 2003 and was assigned docket number 4 03CV01822CAS. Attached hereto are true and accurate copies of the complaint as filed and an answer from the defendant which was filed in the case on March 24, 2004. The litigation was subsequently settled without adjudication of the merits of the claims and defenses pleaded in the answer and complaint. Additionally, the litigation was settled without discovery proceedings and without exchange of documents between the plaintiff and defendant in support of or in conflict with the claims and defenses asserted in the pleadings.

Specifically, Applicants' and their attorneys are unaware of any document or information that would substantiate the defendant's second affirmative defense set forth in paragraph 21 of

ļ

**PATENT** 

Attorney Docket No. 26/1145US(0.1) (AT 10759-160)

the answer that the claims of U.S. Patent No. 6,651,791 are invalid on one or more grounds specified in 35 U.S.C. §§ 101, 102, 103 and 112, and Applicants and their attorneys received no such documentation or information in the course of the litigation.

While applicants and their attorneys have no further documents to submit in connection with the litigation, the Examiner is invited to contact the undersigned should the Examiner have any questions regarding the litigation or require further information.

No fee is believed to be due for this Notice of Related Litigation. If, however, a fee is due, the Commissioner is authorized to charge any applicable fee to Deposit Account Number 01-2384.

Respectfully Submitted,

Dean Small

Registration No. 34,730

ARMSTRONG TEASDALE LLP

One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070

Attorney Docket No. 26/1145US(0.1) (AT 10759-160)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ant: Cory O. Nykoluk et al.

Art Unit: 3727

Serial No.: 10/688,447

Examiner: Mai, Tri M.

Filed: October 17, 2003

PIVOTAL HANDLE FOR TOWABLE

**BAGGAGE** 

### TRANSMITTAL OF FORMAL DRAWINGS

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

For:

Enclosed are Four (4) sheets of Formal Drawings for the above-identified patent application. No new matter has been added.

Respectfully submitted,

Dean Small, Registration No. 34,730

Armstrong Teasdale LLP

One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

314/621-5070



### United States District Court

# EASTERN DISTRICT OF MISSOURI NOTICE OF LAWSUIT AND REQUEST FOR WAIVER OF SERVICE OF SUMMONS

### \* \* Plaintiff to Complete Gray Area \* \*

|  | I. Franklin, 1001 Fran   | klin Ave, S: Plainfield, NJ 07080  |
|--|--|--|
| то:  | (Name of defendant)  | of TUMI, Inc.  |
|  | (Title)  | (Name of business)   |
| Alawsu<br>been f                             | ithas been commenced against you<br>illed in the United States Distri  | I (or the entity on whose behalf you are addressed). A copy of the complaint is attached to this notice. It has ict. Court, for the Eastern District.  |
| of Mis                                       | souri and has been assigned o  | docket number 4 0 30 V 0 1 8 2 2 C A S.  |
| This is the cos of the we enveloped the with | otaformal summons or notification for serving you with a judicial summon awer within _30 _ days after the date pe (or other means of cost-freu comply with this required court and no summon | rom the court, but rather my request that you sign and return the enclosed waiver of service in order to save one and an additional copy of the complaint. The cost of service will be avoided if I receive a signed copy of designated below as the date on which this Notice and Request is sent. I enclose a stamped and addressed servictum) for your use. An extra copy of the waiver is also attached for your records.  I lest and return the signed waiver to the undersigned, it will be filed this will be served on you. The action will then proceed as if you had been served on the service of the undersigned waiver to the date designated below as the date on which is from that date if your address is not in any judicial district of the United States). |
| fyoud<br>Rules<br>addres                     | on diretum the signed waver within the ficial Procedure and will then, to the sed) to pay the full costs of such services. Which is set forth on the   | the time indicated.) Will take appropriate steps to effect formal service in a manner authorized by the Federal<br>ne extent authorized by those Rules, ask the count to require you (or the party on whose behalf you are<br>noe in that connection; please read the statement concerning the duty of parties to waive the service of the<br>foot of the waiver form.   |
| Laffin                                       | n mai this request is being ser  | nt to you on behalf of the plaintiff, this way of <u>Pecember</u> , 20 <u>93</u><br>Signature of Plaintiff's Attorney or a Unrepresented Plaintiff.  |

#### DUTY TO AVOID UNNECESSARY COSTS OF SERVICE OF SUMMONS

Rule 4 of the Federal Rules of Civil Procedure requires certain parties to cooperate insaving unnecessary costs of service of the summons and comptaint. A defendant located in the United States who, after being notified of an action and asked by a plaintiff located in the United States to waive service of summons, fails to do so will be required to bear the cost of such service unless good cause be shown for its failure to sign and return the

It is not good cause for a failure to waive service that a party believes that the complaint is unfounded, or that the action has been brought in an unproper place or in a court that tacks jurisdiction over the subject matter of the action or over its person or property. A party who waives service of the summons retains all defenses and objections (except any relating to the summons or to the service of summons), and may later object to the jurisdiction of the court or to the place where the action has been brought.

A defendant who waives service must within the time specified on the waiver form serve on the plaintiff's attorney (or unrepresented plaintiff) a response to the complaint and must also file a signed copy of the response with the court. If the answer or motion is not served within this time, a default judgment may be taken against that defendant. By waiving service, a defendant is allowed more time to answer, than if the summons had actually served, when the request for waiver of service was received.



### **United States District Court**

### EASTERN DISTRICT OF MISSOURI

### WAIVER OF SERVICE OF SUMMONS

| NOTICE TO DEFENDANT(S)  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| * Plaintiff To Complete Gray Area * *   |  |  |  |  |  |  |
| John Quinn/Jeffrey Kass, Armstrong Teasdale LLP, 1 Metropolitan Sq. St. Louis, MO 63102   |  |  |  |  |  |  |
| o: (Name of plaintiff's attorney or unrepresented plaintiff)  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| acknowledge receipt of your request that I waive service of a summons in the action of:   |  |  |  |  |  |  |
| Case Caption: TRG Accessories, LLC v. TUMI Inc.   |  |  |  |  |  |  |
| Case Number: 4 03CV01822CAS   |  |  |  |  |  |  |
| in the United States District Court for the Eastern District of Missouri. Thave also received a copy of the complaint in this action, two copies of this instrument, and a means by which I can return the signed waiver to you without cost to me. |  |  |  |  |  |  |
| Lagree to save the cost of service of a summons and an additional copy of the complaint in this lawsuit by not requiring that I (or the entity on whose behalf I am acting) be served with judicial process in the manner provided by Rule 4.       |  |  |  |  |  |  |
| I (or the entity on whose behalf I am acting) will retain all defenses or objections to the lawsuit or to the jurisdiction or venue of the court except for objections based on a defect in the summons or in the service of the summons.           |  |  |  |  |  |  |
| Lunderstand that a judgment may be entered against me (or the party on whose behalf I am acting) if an answer or motion under Rule 12 is not served upon you within 60 days after   |  |  |  |  |  |  |
| or within 90 days after date if sthe request was sent outside the United States.  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| DEFENDANT'S ACKNOWLEDGMENT OF WAIVER OF SERVICE   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| Date Print name   |  |  |  |  |  |  |
| Signature   |  |  |  |  |  |  |
| as of (Officer or Agent)  |  |  |  |  |  |  |
| Address   |  |  |  |  |  |  |
| City, State, Zip Code   |  |  |  |  |  |  |

- Page 2-

### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

DEC 2 2 2003

U. S. DISTRICT COURT EASTERN DISTRICT OF MU

TRG Accessories, LLC Plaintiff(s), 03CV01822CAS Vs. TUMI Inc., Defendant(s).

### DISCLOSURE OF CORPORATION INTERESTS **CERTIFICATE**

Pursuant to Rule 2.09 of the Local Rules of the United States District Court for the Eastern District of Missouri notice is hereby given by counsel of record for TRG Accessories, LLC that the following corporate interests are disclosed:

1. The parent companies of the corporation:

The Centric Group

2. Subsidiaries not wholly owned by the corporation: None

3. Any publicly held company that owns ten percent (10%) or more of the corporation:

None

Signature (Coursel for Plaintiff/Defendant) John Quinn III/Jeffrey Kass

Print Name: \_ Address: One Metropolitan Sq, Ste 2600

St. Louis, Missouri

63102 City/State/Zip:.

Phone: 314-621-5070 Fax:314-621-5065

## UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI

FILED

DEC 2 2 2003

TRG Accessories, LLC

٧.

U. S. DISTRICT COURT EASTERN DISTRICT OF MO

plaintiff, )

defendant.

0°3CV01822CAS

TUMI Inc.,

### ORIGINAL FILING FORM

THIS FORM MUST BE COMPLETED AND VERIFIED BY THE FILING PARTY WHEN INITIATING A NEW CASE.

| THIS CAUSE, OR A SUBSTANTIALLY EQUIVALENT COMPLAINT, WAS |
|--|
| PREVIOUSLY FILED IN THIS COURT AS CASE NUMBER            |
| AND ASSIGNED TO THE HONORABLE JUDGE                      |

NEITHER THIS CAUSE, NOR A SUBSTANTIALLY EQUIVALENT COMPLAINT,
PREVIOUSLY HAS BEEN FILED IN THIS COURT, AND THEREFORE MAY BE
OPENED AS AN ORIGINAL PROCEEDING.

The undersigned affirms that the information provided above is true and correct.

Date: 12 - 22 - 03

ignature of Filing Party

### **CIVIL COVER SHEET**

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

| )) Court for the purpose of man  |  |   |   | DEFENDANTS   |   |  |
|--|--|---|---|--|---|--|
| i. (a) PLAINTIFFS  |  |   |   | TUMI Inc.  |   |  |
| TRG Accessories, LLC   |  |   |   | 10/12 21101  |   |  |
| (EXCEPT IN U.S. PLAINTIFF CASES)   |  |   |   | County of Residence  NOTE: IN LAND  LAND IN  | of First Listed Mi<br>(IN U.S. PLAINTIFF CASES O<br>CONDEMNATION CASES, US<br>VOLVED.   | ddlesex County, NJ<br>ONLY)<br>SE THE LOCATION OF THE  |
|  |  |   |   | Attorneys (If Know   | /n)   |  |
| (c) Attorney's (Firm Name, Address, and Telephone Number)  John Quinn III/Jeffrey Kass,  1 Metropolitan Sq,St. Louis  MO 63102, 314-621-5070   |  |   |   | 4 03   | CVU 18  | ce an "X" in One Box for Plaintiff   |
| II. BASIS OF JURISDIC  | TION (Place an "X" in One  | e Box Only)   | III. CIT  | IZENSHIP OF PR   | INCIPAL PARTIES(FIA   | and One Box for Defendant)   |
| (I. Dibis Cristian   |  |   | (For D  | Diversity Cases Only)  | rf def  | PTF <u>DE</u> F  |
| 1 U.S. Government  | ar a Government Not a Party)   |   | Citizen of This State I I Incorporated or Principal Place 4 4 of Business In This State |  |   |  |
| 2 U.S. Government Defendant  | 2 U.S. Government 4 Diversity  |   | Citize  | en of Another State  | 2 Incorporated and of Business In   | Principal Place 5 5<br>Another State   |
| Defelldant   | in Item III)   | •   |   | en or Subject of a   | 3 Soreign Nation  | 6 66   |
| IV. NATURE OF SUIT   | (Place an "X" in One Bo  | ox Only)  |   |  |   | OTHER STATUTES   |
|  | TORT   |   | FORE  | EITURE/PENALTY   | BANKRUPTCY  |  |
| CONTRACT  110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excl. Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability REAL PROPERTY  210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property | PERSONAL INJURY    310 Airplane     315 Airplane Product Liability     320 Assault, Libel & Slander     330 Federal Employers' Liability     340 Marine     345 Marine Product Liability     350 Motor Vehicle     355 Motor Vehicle     Product Liability | PERSONAL INJU  362 Personal Injury Med. Malpract 365 Personal Injury Product Liabili 368 Asbestos Personal Injury Product Liability PERSONAL PROPI 370 Other Fraud 371 Truth in Lendi 380 Other Personan Property Dam Product Liabil PRISONER PETTIC  510 Motions to V Sentence Habeas Corpus: 530 General 535 Death Penalt; 540 Mandamus & 550 Civil Rights 555 Prison Condi | ing large large lity ONS acate  | 510 Agriculture 520 Other Food & Drug 525 Drug Related Seizure of Property 21 USC 630 Liquor Laws 640 R.R. & Truck 650 Airline Regs. 660 Occupational Safety/Health 690 Other  LABOR 710 Fair Labor Standards Act 720 Labor/Mgmt.Relations 730 Labor/Mgmt.Reporting & Disclosure Act 740 Railway Labor Act 790 Other Labor Litigation 791 Empl. Ret. Inc. Security Act | 864 SSID ITHE XVI 865 RSI (405(g))  FEDERAL TAX SUITS  1 870 Taxes (U.S. Plaintiff or Defendant)  1 871 IRS—Third Party 26 USC 7609 | 895 Freedom of Information Act 900Appeal of Fee Determination Under Equal Access to Justice  950 Constitutionality of State Statutes 890 Other Statutory Actions |
| Original 2 R   | tate Court   | Remanded from<br>Appellate Court  | ☐ 4 Re or Re  | instated 5 Tran<br>another<br>opened (specific   | sferred from 6 Multidis Litigation Litigation   | Strict Magistrate  |
| VI. CAUSE OF ACTION  (Cite the U.S. Civil Statute under which you are filing and write brief statement of cause.  Do not cite jurisdictional statutes unless diversity.)  Patent infringement under 35 USC 271   |  |   |   |  |   |  |
| VII. REQUESTED IN COMPLAINT:   | G CHECK IF THE<br>UNDER F.R.C.I  | S IS A CLASS ACTI<br>P. 23  | ON I  | DEMAND\$<br>Excess of \$75,00  |   | y if demanded in complaint:<br>: Yes No  |
| VIII. RELATED CASE(S) IF ANY  (See instructions): JUDGE  DOCKET NUMBER   |  |   |   |  |   |  |
| DATE 12-22-0   | 3  | SIGNATURED  | F ATT CRNI  | EY OF RECORD   |   | <u>.</u>   |
| OR OFFICE USE ONLY   |  | JU  | )   |  | MAG. JU   | INGE   |
| RECEIPT #  | AMOUNT   | APPLYING IF   | Р   | JUDGE  | MAG. JU   | UDGB   |

### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

| I                        | FILED                      |
|--------------------------|----------------------------|
| DEC                      | 2 2 2003                   |
| U. S. DIS<br>EASTERN DIS | TRICT COURT<br>STRICT OF M |

| TRG ACCESSORIES, LLC                                       | ) DISTRICT COURT                                 |  |  |  |
|--|--|--|--|--|
| Plaintiff,   | 4 03CV01822°C AS                                 |  |  |  |
| VS.  | ) COMPLAINT OF PLAINTIFF ) TRG ACCESSORIES, INC. |  |  |  |
| TUMI, INC.   | )<br>) JURY TRIAL DEMANDED                       |  |  |  |
| Serve: L. Franklin 1001 Durham Ave. S Plainfield, NJ 07080 |  |  |  |  |
| Defendant.   | )  |  |  |  |

### **COMPLAINT**

Plaintiff TRG Accessories, LLC ("TRG"), for its Complaint for Injunctive Relief and Monetary Damages against Tumi, Inc. ("Tumi"), states:

- 1. This is an action for patent infringement under 35 U.S.C. § 271. This Court, therefore, has jurisdiction over the matter under 28 U.S.C. §§ 1331 and 1338.
- 2. To plaintiffs' knowledge and belief, Tumi has sold and continues to sell infringing products to businesses located in the State of Missouri, within the Eastern District, and is therefore doing business within this State and this District. The customers of Tumi in turn sell and offer to sell infringing products in this State and District. Tumi also offers for sale infringing products on its interactive web site, www.tumi.com, where customers may purchase infringing products.
- 3. As a result of these sales and activities, Tumi has purposefully directed its activities at residents of Missouri, the claim for relief arises out of activities within this State and

elsewhere, and the exercise of jurisdiction is reasonable. This Court, therefore, has personal jurisdiction over Turni under the United States Constitution and Missouri's long-arm statute.

4. Venue is proper in this Court under 28 U.S.C. §§ 1391 and 1400.

#### The Parties

- 5. TRG is a Missouri limited liability company having its principal place of business in St. Louis County, Missouri.
- 6. Defendant Tumi is a New Jersey corporation having its principal place of business in South Plainfield, New Jersey, and does business over the Internet sells to various retail stores throughout the United States, including within this District.

### Background

- 7. TRG is a leader in the design, sale and marketing of baggage, including travel luggage. TRG sells its products throughout the United States.
- 8. On November 25, 2003, U.S. Patent No. 6,651,791 (the '791 patent) titled "PIVOTAL HANDLE FOR TOWABLE BAGGAGE," was duly and legally issued to TRG as assignee of Cory O. Nykoluk and David Mitteman, the inventors named in U.S. Patent Application No. 09/705,171, filed November 2, 2000. A true and accurate copy of the '791 patent is attached hereto as Exhibit A.
- 9. The '791 patent relates to a pivotal handle to be attached, permanently or removeably, to a piece of baggage.
- 10. Since the issuance of the '791 patent, TRG has been and remains the owner of all right, title and interest to the '791 patent, including the right to recover for past infringement.
- 11. Infringing products manufactured by and/or for Tumi have been sold by Tumi in this district.

### Patent Infringement Under 35 U.S.C. § 271

- 12. TRG restates paragraphs 1 through 11 as if fully set forth herein.
- 13. TRG is the owner of the '791 patent.
- 14. Tumi has infringed one or more of the claims of the '791 patent in connection with the manufacturing, using, offering to sell and selling of products embodying the invention claimed in the '791 patent. Unless enjoined, Tumi will continue its infringing activities to the irreparable injury of TRG.
- 15. Upon information and belief, Tumi is actively inducing others to infringe, and/or is contributing to the infringement by others of, one or more of the claims of the '791 patent by, among other things, selling infringing products to businesses which it knows will sell and use said products.
- 16. Tumi has been given actual notice of the '791 patent, and of its infringement of the '791 patent, by virtue of this lawsuit.
  - 17. Tumi's infringement is continuing.
- 18. Tumi's infringement of the '791 patent has caused damages to TRG, including but not limited to lost profits and lost royalties.
- 19. Although monetary compensation will afford TRG some relief, much of the damages TRG will suffer are immediate and irreparable.

WHEREFORE, TRG respectfully prays for entry of judgment in its favor against Tumi for all relief available under 35 U.S.C. Chapter 29, including the following:

- a. Injunctive relief precluding Tumi's further infringement of the '791 patent;
- b. An order requiring an accounting of damages by virtue of Tumi's infringement.

- Compensatory damages equal to lost profits resulting from Tumi's c. infringing activities or an amount at least equal to a reasonable royalty for Tumi's unauthorized use of or contributing to the use of the '791 patent;
- All other relief deemed by the Court to be just and proper. d.

### JURY DEMAND

Plaintiff hereby demands trial by jury of all issues so triable in this action.

BŶ: John H. Quyun III, #4110

Jeffrey H. Kass, #60672 One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070

(314) 621-5065 (facsimile)

ATTORNEYS FOR TRG ACCESSORIES, LLC

### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF MISSOURI EASTERN DIVISION

|                      | X                                   |
|----------------------|-------------------------------------|
| TRG ACCESSORIES, LLC | : Civil Action No. : 4 03CV01822CAS |
| Plaintiff,           | :<br>:<br>:                         |
| v.                   | :<br>:                              |
| TUMI, INC.           | :<br>:                              |
| Defendant.           | :<br>x                              |

### DEFENDANT TUMI, INC.'S ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS

Defendant Tumi, Inc. ("Tumi"), for its Answer against TRG Accessories, LLC ("TRG"), states:

- 1. Admitted that TRG purports this action to be for patent infringement under 35 U.S.C. § 271 and thus this Court has jurisdiction over this matter under 28 U.S.C. §§ 1331 and 1338, but otherwise denies the allegations of paragraph 1.
  - 2. Denied.
  - 3. Denied.
  - 4. Admitted upon information and belief.

#### The Parties

5. Tumi lacks information sufficient to form a belief as to the allegations set forth in this paragraph and therefore denies such allegations.

6. Tumi admits it is a New Jersey corporation having its principal place of business in South Plainfield, New Jersey, but otherwise denies the remaining allegations in this paragraph as incomprehensible.

#### Background

- 7. Denied.
- 8. Tumi admits that on November 25, 2003, U.S. Patent No. 6,651,791 ("the '791 patent") titled "PIVOTAL HANDLE FOR TOWABLE BAGGAGE," issued listing on its face TRG Accessories, LLC as the assignee and listing Cory O. Nykoluk and David Mittleman as inventors and identifying Application No. 09/705,171 filed November 2, 2000. Tumi admits that a copy of the '791 patent was attached to TRG's Complaint as Exhibit A. Tumi denies the remaining allegations in this paragraph.
- 9. Tumi admits that the '791 patent purports to relate to a pivotal handle to be attached, permanently or removeably, to a piece of luggage.
- 10. Tumi lacks information sufficient to form a belief as to the allegations set forth in this paragraph and therefore denies such allegations.
  - 11. Denied.

### Alleged Patent Infringement Under 35 U.S.C. § 271

- 12. Tumi restates its responses to paragraphs 1 through 11 as if fully set forth herein.
- 13. Tumi lacks information sufficient to form a belief as to the allegations set forth in this paragraph and therefore denies such allegations.
  - 14. Denied.
  - 15. Denied.

- 16. Tumi admits that it has received a copy of the Complaint in this action and, as a result of such receipt, is on notice of the allegations set forth therein, but otherwise denies the allegations set forth in this paragraph.
  - 17. Denied.
  - 18. Denied.
  - 19. Denied.

### FIRST AFFIRMATIVE DEFENSE

20. Tumi's product which is believed to be the subject of the Complaint does not infringe any valid and enforceable claim of the '791 patent.

### SECOND AFFIRMATIVE DEFENSE

21. The claims of the '791 patent are invalid on one or more grounds specified in Title 35 including 35 U.S.C. §§ 101, 102, 103 and 112.

#### **COUNTERCLAIMS**

- 22. The responses and allegations set forth in paragraphs 1 through 21 are repeated and realleged herein.
- 23. Jurisdiction of this Court for Tumi's counterclaims arise under the Federal Declaratory Judgments Act, Title 28, United States Code, §§ 2201 and 2202, and under the laws of the United States concerning actions relating to patents, Title 28, United States Code, § 1338(a).
- 24. Venue for Tumi's counterclaims is proper under Title 28, United States Code §§ 1391(c) and 1400(b).
- 25. The claims of U.S. Patent No. 6,651,791 are invalid on one or more grounds set forth in Title 35, including Sections 101-112.

Tumi has not infringed any valid and enforceable claim of U.S. Patent No. 26.

6,651,791.

WHEREFORE, defendant, Tumi Inc., prays this honorable Court for a judgment

in its favor and against plaintiff, TRG Accessories, LLC, as follows:

an entry of judgment that the claims of the '791 patent are invalid; (a)

an entry of judgment that Tumi has not infringed, either directly or (b)

indirectly, any valid, enforceable claim of the '791 patent;

an entry of judgment that Tumi's products do not infringe any valid, (c)

enforceable claim of the '791 patent;

a declaration that TRG, its officers, agents, employees, attorneys and all (d)

persons in active concert or participation with them, be permanently enjoined from suing or

threatening to sue, or making any charge against Tumi, or any distributor, licensee or customer

of either of them, concerning alleged infringement of the '791 patent;

an award of costs and attorneys fees to Tumi as permitted by law; (e)

an award of prejudgment interest and postjudgment interest on Tumi's (f)

attorneys' fees; and

such other and further relief as the Court may deem appropriate. (g)

**JURY DEMAND** 

Defendant, Tumi, Inc., hereby demands a trial by jury.

Dated: March 29, 2004

-4-

/s/ Vincent H. Venker II

Vincent H. Venker II, # 4607 BAKER STERCHI COWDEN & RICE, L.L.C. 1010 Market Street, Suite 1610

St. Louis, Missouri 63101

Phone: (314) 231-2925 Fax: (314) 231-4857

E-mail: venker@bscr-law.com

Patrick Lysaught, MO #25362
BAKER STERCHI COWDEN & RICE, L.L.C.
2400 Pershing Road, Suite 500
Kansas City, MO 64108-2504
Telephone: (816) 471-2121

Facsimile: (816) 472-0288 E-mail: lysaught@bscr-law.com

Attorneys for Defendant

#### **CERTIFICATE OF SERVICE**

I hereby certify that a copy of the foregoing **DEFENDANT TUMI, INC.'S ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS** was served via ECF filing and U.S. Mail, postage pre-paid, this 29th day of March, 2004, to:

John H. Quinn III Jeffrey H. Kass One Metropolitan Square, Suite 2600 St. Louis, MO 63102-2740 Attorneys for Plaintiffs

/s/ Vincent H. Venker II